

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling et al.  
 Serial No: 09/923,223  
 Filed: August 6, 2001  
 For: ULTRAVIOLET LIGHT EMITTING DIODE SYSTEMS AND METHOD

Examiner: Unassigned  
 Art Unit: 2811

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231, on the 17<sup>th</sup> day of January,

*Robert Lucero*

BOX: MISSING PARTS  
 COMMISSIONER FOR PATENTS  
 WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing are the following documents in the above-identified application.

- [X] RESPONSE TO THE NOTICE TO FILE MISSING PARTS
- [X] COPY OF NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
- [X] TWO DECLARATIONS FOR PATENT APPLICATION
- [X] PETITION FOR TWO MONTH EXTENSION OF TIME
- [X] LETTER TO THE OFFICIAL DRAFTSPERSON
- [X] TWO (2) SHEETS OF FORMAL DRAWINGS (FIGS. 1-6)
- [X] RETURN RECEIPT POSTCARD

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$265.00 is enclosed to cover the surcharge and a two month extension of time. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

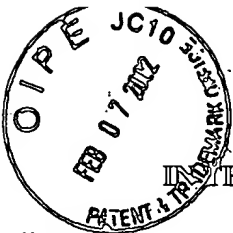
Respectfully submitted,

Kevin J. Dowling et al.

By:

*Joseph Teja, Jr.*  
 Joseph Teja, Jr., Reg. No. 45,157  
 Wolf, Greenfield & Sacks, P.C.  
 600 Atlantic Avenue  
 Boston, MA 02210  
 Tel. (617) 720-3500  
 Attorneys for Applicants

Docket No. C01104/70076  
 Dated: January 17, 2002



ATTORNEY'S DOCKET NO: C01104/70076

AC3

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Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: Official Draftsperson

COPY OF PAPERS  
ORIGINALLY FILED

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LETTER TO OFFICIAL DRAFTSPERSON

Sir:

Subject to the approval of the Examiner in this case, enclosed for filing are two (2) sheets of FORMAL DRAWINGS, Figures 1-6, for the above-referenced patent application.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 23-2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

*Kevin J. Dowling, et al.*

Joseph Teja, Jr., Reg. No. 45,157  
Wolf, Greenfield & Sacks, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500

Docket No. C01104/70076  
Date: January 17, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/923,223	08/06/2001		C1104/7076RFG

23628  
WOLF GREENFIELD & SACKS, PC  
FEDERAL RESERVE PLAZA  
600 ATLANTIC AVENUE  
BOSTON, MA 02210-2211

ORIGINAL COPY OF PAPERS FILED

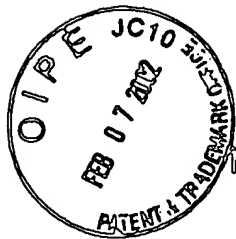
CONFIRMATION NO. 8966

## FORMALITIES LETTER



\*OC000000006807333\*

Date Mailed: 09/28/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

COPY OF PAPERS  
ORIGINALLY FILED

*Filing Date Granted*

-An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/11/2002 BABRAHA1 00000062 09923223

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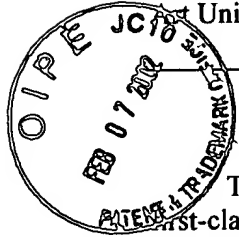
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*Robert L. Lescasse*

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 WASHINGTON, D.C. 20231

COPY OF PAPERS  
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RESPONSE TO THE NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated September 28, 2001, Applicants respectfully submit for filing a copy of an original document entitled Declaration for Patent Application.

Applicants also respectfully note here that, pursuant to a Preliminary Amendment filed on November 28, 2001 in this application, the Domestic Priority Data as Claimed by Applicant appearing on the updated filing receipt following this Response should appear as follows:

THIS APPLN CLAIMS BENEFIT OF 60/235,678 09/27/2000  
 AND CLAIMS BENEFIT OF 60/222,847 08/04/2000  
 THIS APPLN 09/923,223 ALSO IS A CIP OF 09/669,121 09/25/2000  
 WHICH IS A CON OF 09/425,770 10/22/1999 PATENT 6,150,774  
 WHICH IS A CON OF 08/920,156 8/26/1997 PATENT 6,016,038  
 THIS APPLN 09/923,223 ALSO IS A CIP OF 09/215,624 12/17/1998  
 AND A CIP OF 09/213,607 12/17/1998  
 AND A CIP OF 09/213,189 12/17/1998  
 AND A CIP OF 09/213,581 12/17/1998  
 AND A CIP OF 09/213,540 12/17/1998  
 AND A CIP OF 09/333,739 6/15/1999  
 AND A CIP OF 09/742,017 12/20/2000

WHICH IS A CON OF 09/213,548 12/17/1998 PATENT 6,166,496  
THIS APPLN 09/923,223 ALSO IS A CIP OF 09/815,418 3/22/2001  
AND A CIP OF 09/626,905 7/27/2000  
WHICH IS A CON OF 09/213,659 12/17/1998 PATENT 6,211,626  
THIS APPLN 09/923,223 ALSO CLAIMS BENEFIT OF 60/071,281 12/17/1997  
AND CLAIMS BENEFIT OF 60/068,792 12/24/1997  
AND CLAIMS BENEFIT OF 60/078,861 3/20/1998  
AND CLAIMS BENEFIT OF 60/079,285 3/25/1998  
AND CLAIMS BENEFIT OF 60/090,920 6/26/1998

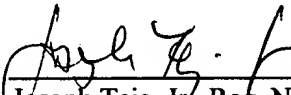
The check enclosed includes the fee of \$265.00 for payment of the surcharge and a two month extension of time.

If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

George G. Mueller, et al.

By:

  
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Boston, MA 02210  
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Attorneys for Applicants

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